

MiFID II: The Legal Framework and Main Requirements

Continuing Professional Development

Instructor:

Olivia Parpa

The main objective of this seminar is to outline the MiFID II requirements on Banks and Investment Firms and to refresh and update participants' knowledge of MiFID.

Who should attend the seminar?

The seminar applies to anyone who wishes to obtain an outline of MiFID II requirements or for anyone that wishes to refresh their knowledge on the obligations of Banks and Investment firms under this Directive.

22 November 2022
15:00 – 20:30 (5 CPD Units)

Registrations:
Fee: €150
Click [here](#) to register

SEMINAR PROGRAMME

		MiFID II: The Legal Framework and Main Requirements
Time	Duration	Description
15:00 – 15:30	0:30	Introduction
15:30 – 17:00	1:30	Client Protection: <ul style="list-style-type: none">- Categorization- Suitability/ Appropriateness- Best Execution- Client Handling Rules- Product Governance- Information/ Reporting
17:00 – 17:15	0:15	C O F F E E B R E A K
17:15 – 17:45	0:30	Venues
17:45 – 19:15	1:30	Organizational Requirements: <ul style="list-style-type: none">- Governance, The Board and the Committees- Remuneration- Compliance, Risk and Internal Audit Functions- Conflicts of Interests and Personal Transactions- Inducements- Investment Advice
19:15 – 19:30	0:15	C O F F E E B R E A K
19:30 – 20:00	0:30	Data Consolidation
20:00 -20:30	0:30	Impact
Total Net Duration	5:00	END OF SEMINAR