

# Insights from CySEC Inspections – Market Abuse, Suitability, Product Governance, Best Execution, Compliance Function & AIFMs Valuation of Illiquid Assets

## Continuing Professional Development

### Instructor:

Nicolas Demetriades

### Aim:

Be super ready for one of the most important and challenging moments coming up, the next CySEC inspection. Like an exam, solving past papers better prepares you for what the examiner might be looking for.

### Objectives:

1. Get a clear understanding on what might trigger a CySEC inspection and the procedure usually followed by the CySEC Officers when performing an inspection.
2. Understand the areas where CySEC focuses during an inspection on the basis of previous experiences.
3. Understand market best practices and gain knowledge from experiences drawn from previous CySEC inspections, so as to ensure that your organization is in line with the regulator's expectations.

### Who should attend the seminar?

Compliance Officers & Assistants, Executive & Non-Executive Directors, Lawyers and consultants, any professionals wishing to enhance their understanding in regulatory compliance for CIFs and AIFMs.

25 April 2024  
09:00 – 14:30 (5 CPD Units)

**Registrations:**  
Fee: €180  
Click [here](#) to register

**SEMINAR PROGRAMME**

<b>25/04/2024</b>		<b>Insights from CySEC Inspections – Market Abuse, Suitability, Product Governance, Best Execution, Compliance Function &amp; AIFMs Valuation of Illiquid Assets</b>
<b>Time</b>	<b>Duration</b>	<b>Description</b>
9:00 – 10:00	1:00	<b>Market Abuse Ad-hoc Internal Audit Report</b> <ul style="list-style-type: none"> <li>• What triggered the report</li> <li>• Content of the report – Areas reviewed</li> <li>• Case studies</li> </ul>
10:00 – 10:15	0:15	<b>C O F F E E B R E A K</b>
10:15 – 11:15	1:00	<b>CySEC Thematic Reviews: Suitability &amp; Product Governance</b> <ul style="list-style-type: none"> <li>• What triggered the reviews</li> <li>• Procedure followed by CySEC</li> <li>• Areas reviewed</li> <li>• Weaknesses and best practices identified</li> </ul>
11:15 – 12:45	1:30	<b>CySEC Thematic Review: Best Execution</b> <ul style="list-style-type: none"> <li>• Procedure followed by CySEC</li> <li>• Areas reviewed</li> <li>• Weaknesses and best practices identified</li> </ul>
12:45 – 13:00	0:15	<b>C O F F E E B R E A K</b>
13:00 – 14:30	1:30	<b>CySEC Thematic Reviews: Compliance Function &amp; AIFMs Valuation of Illiquid Assets</b> <ul style="list-style-type: none"> <li>• Procedure followed by CySEC</li> <li>• Areas reviewed</li> <li>• Weaknesses and best practices identified</li> </ul>
<b>Total Net Duration</b>	<b>5:00</b>	<b>END OF SEMINAR</b>