

Aim:

Be super ready for one of the most important and challenging moments coming up, the next CySEC inspection.

Like an exam, solving past papers better prepares you for what the examiner might be looking for.

- Get a clear understanding of what might trigger a CySEC inspection, and the procedure usually followed by the CySEC Officers when performing an inspection.
- Understand the areas where CySEC focuses during an inspection based on previous experiences.
- Understand market best practices and gain knowledge from experiences drawn from previous CySEC inspections, to ensure that your organization is in line with the regulator's expectations.

Who should attend the seminar:

This seminar is addressed to:

- Compliance Officers & Assistants,
- Executive & Non-Executive Directors,
- Lawyers and consultants,

Any professionals wishing to enhance their understanding in regulatory compliance for CIFs and AIFMs.



Insights from CySEC Inspections – Market Abuse, Suitability, Product Governance, Best Execution, Compliance Function, AIFMs Valuation of Illiquid Assets

Instructor:

Nikolas Demetriades
CFA

Nikolas is the Risk & Compliance Director of B2B Lateral Thinking Solutions, consulting firm specializing in regulatory compliance, risk management, legal and corporate services mainly offering services to CIFs, AIFs and AIFMs. Nikolas has a vast experience in the industry having worked as a head of a compliance department of one of the biggest Cyprus Investment Firm with a team of more than 10 members and being responsible for the training and monitoring of more than 150 employees. He also gained his experience and knowledge as the head of licensing team of the Financial Regulation and Advisory Department of a reputable consulting firm in Cyprus.

Nikolas graduated from Cass Business School in the UK with a degree in Business Studies. He is a Chartered Financial Analyst and also has the CySEC Advanced Certificate.

Contact Us:

Seminars@globaltraining.org

77778030

22841795



November 20th, 2024

9:00 – 14:30

5 CPDs

Ground-Based (Nicosia): €140

SEMINAR PROGRAMME

Insights from CySEC Inspections – Market Abuse, Suitability, Product Governance, Best Execution, Compliance Function, AIFMs Valuation of Illiquid Assets		
Time	Duration	Description
9:00 – 10:00	1:00	Market Abuse Ad-hoc Internal Audit Report <ul style="list-style-type: none"> • What triggered the report • Content of the report – Areas reviewed • Case studies
10:00 – 11:00	1:00	CySEC Thematic Reviews: Suitability & Product Governance <ul style="list-style-type: none"> • What triggered the reviews • Procedure followed by CySEC • Areas reviewed • Weaknesses and best practices identified
11:00 – 11:15	0:15	Break
11:15 – 12:45	1:30	CySEC Thematic Review: Best Execution <ul style="list-style-type: none"> • Procedure followed by CySEC • Areas reviewed • Weaknesses and best practices identified
12:45 – 13:00	0:15	Break
13:00 – 14:30	1:30	CySEC Thematic Reviews: Compliance Function & AIFMs Valuation of Illiquid Assets <ul style="list-style-type: none"> • Procedure followed by CySEC • Areas reviewed • Weaknesses and best practices identified
Total Net Duration	5:00	End of Seminar

Certification:

All participants will be awarded a certificate. Each hour of attendance will account for one unit of Continuing Professional Development (CPD) as required for members of most professional bodies.